

PUBLIC UTILITIES COMMISSION

505 VAN NESS AVENUE
SAN FRANCISCO, CA 94102-3298



February 4, 2021

Jeffrey T. Linam
Vice President of Rates & Regulatory
California-American Water Company
4701 Beloit Drive
Sacramento, CA 95838-2434

Dear Mr. Linam,

The Commission has approved California-American Water Company's Advice Letter No. 65-S, filed on December 22, 2020, regarding authorization to establish 2021 Interim Rates and Memorandum Account.

Enclosed are copies of the following revised tariff sheets, effective January 1, 2021, for the utility's files:

P.U.C.	Sheet No.	Title of Sheet
	282-S	Schedule SA, Monterey Waste Water District Tariff Area Active Sewer Service, Sheet 1
	283-S	Schedule SP, Monterey Waste Water District Tariff Area Passive Sewer Service, Sheet 1
	284-S	Schedule WW-DU-1, Dunnigan District Sewer Tariff Area General Flat Rate Service, Sheet 1
	285-S	Schedule No. WW-LIRA (Cont.), Monterey County Sewer Service Low Income Ratepayer Assistance Program, Sheet 1
	286-S	Table of Contents, Sheet 1

Please contact Bradley Leong at BL4@cpuc.ca.gov or 415-703-2307, if you have any questions.

Thank you,

/s/ROBIN BRYANT

Robin Bryant
Water Division

Enclosures

CALIFORNIA PUBLIC UTILITIES COMMISSION DIVISION OF WATER AND AUDITS

Advice Letter Cover Sheet

Utility Name: California American Water
Monterey and Dunnigan WW
District: Districts

CPUC Utility #: U210W
Advice Letter #: 65-S
Tier 1 2 3 Compliance

Authorization D. 19-07-004

Date Mailed to Service List: December 22, 2020
Protest Deadline (20th Day): January 11, 2021
Review Deadline (30th Day): January 21, 2021
Requested Effective Date: January 1, 2021

Description: Establish 2021 Interim Rates and Memorandum Account
Rate Impact: \$See AL
See AL%

The protest or response deadline for this advice letter is 20 days from the date that this advice letter was mailed to the service list. Please see the "Response or Protest" section in the advice letter for more information.

Utility Contact: Kamilah Jones
Phone: 916-568-4232
Email: Kamilah.Jones@amwater.com

Utility Contact: Jonathan Morse
Phone: 916-568-4237
Email: Jonathan.morse@amwater.com

DWA Contact: Tariff Unit
Phone: (415) 703-1133
Email: Water.Division@cpuc.ca.gov

DWA USE ONLY

<u>DATE</u>	<u>STAFF</u>	<u>COMMENTS</u>
_____	_____	_____
_____	_____	_____

APPROVED

WITHDRAWN

REJECTED

Signature: _____

Comments: _____

Date: _____



December 22, 2020

ADVICE LETTER NO. 65-S

TO THE PUBLIC UTILITIES COMMISSION OF THE STATE OF CALIFORNIA

California-American Water Company (California American Water) (U210W) hereby submits for filing the following tariff sheets applicable to Monterey and Dunnigan Wastewater Districts which are attached hereto.

Purpose:

The purpose of this advice letter filing is to implement interim rates in connection with California American Water's 2019 General Rate Case ("GRC"), Application ("A.") 19-07-004, and establish a 2021 GRC Interim Rate Memorandum Account for all California American Water Districts. This request is in compliance with authorizations from the California Public Utilities Commission as detailed in the Rate Case Plan in D. 07-05-062 ("RCP") and the *Administrative Law Judge's Ruling Granting Interim Rates* issued on September 10, 2020.

Background:

California American Water filed A.19-07-004 on July 1, 2019, for a 2021 test year, to increase rates for water and/or wastewater service in each of its districts statewide.

Paragraph 1 of Page 11 of the Rate Case Plan ((D.07-05-062) states:

"We conclude that companies experiencing a delay in their GRCs under our new RCP may seek a rate modification, subject to refund as set forth below, via and advice letter.⁶ Our adopted procedure is set forth at II(B) of the RCP. Section II(B) also sets forth the procedure for seeking permission to forego a GRC filing. We will not limit the rate changes sought in these filings to the rate of inflation. However, interim rates under Section 455.2(c), when approved, will be subject to refund and shall be adjusted upward or downward back to the effective date of the interim rates upon the adoption of final rates by the Commission at the conclusion of a GRC scheduled under the RCP. This procedure will only apply during our transition to the new RCP when the new RCP plan delays a water utility's GRC beyond the three-year cycle set forth in Section 455.2(c). We decline to adopt CWA's suggestion to permit utilities to file applications. Applications will unduly complicate the RCP schedule and create numerous inefficiencies. Furthermore, the advice letter process addresses all of CWA's concerns."

Appendix A, Section II. B. of the RCP (page A-2) further provides:

"A water utility that experiences a delay beyond three-years in filing a GRC application due to the transition to the RCP schedule may seek to implement an interim rate change via an advice letter."

⁶ We do not designate this advice letter under any "Tier."

Such filing will not excuse a utility from filing its future GRCs according to the RCP schedule. These interim rates, when approved, will be subject to refund and shall be adjusted upward or downward back to the effective date of the interim rates with the adoption of final rates by the Commission at the conclusion of a GRC scheduled under the RCP.

The procedures herein will only apply during our transition to the RCP in instances when the RCP schedule delays a GRC for any water utility beyond the three-year cycle set forth in Section 455.2.”

On April 6, 2020, in compliance with the RCP, California American Water filed a motion requesting interim rates to the extent that the Commission would be unable to issue a decision in A.19-07-004 in time for rates to go into effect on the first day of the test year (January 1, 2021). On September 10, 2020, the *Administrative Law Judge’s Ruling Granting Interim Rates* approved California American Water’s request as follows:

“IT IS RULED

- 1. California American Water Company must file with the Commission, by Tier 1 Advice Letter, a tariff implementing interim rates for its service territory and establishing a memorandum account to track the difference between the interim rates and the final rates adopted by the Commission in the proceeding pursuant to Public Utilities Code § 455.2 and Decision 07-05-062. The interim rates may become effective no sooner than January 1, 2021.”*

Request:

California American Water requests authorization to establish interim rates in the all California American Water Districts by filing tariffs that increase present rates by 1.2% as set by the Consumer Price Index for All Urban Consumers (CPI-U) as of November 2020 provided by the U.S. Bureau of Labor Statistics.

Tier Designation:

These tariffs are submitted pursuant to General Order No. 96-B and this advice letter is designated as a Tier 1 filing.

Effective Date:

California American Water requests that the tariffs discussed above be made effective January 1, 2021.

Service List:

In accordance with Section 4.3 of General Order No. 96-B, a copy of this advice letter is being sent to those entities listed in Exhibit A.

Protest and Responses:

Anyone may respond to or protest this advice letter. A response supports the filing and may contain information that proves useful to the Commission in evaluating the advice letter. A protest objects to the advice letter in whole or in part and must set forth the specific grounds on which it is based. These grounds may include the following:

- (1) The utility did not properly serve or give notice of the advice letter;

(2) The relief requested in the advice letter would violate statute or Commission order, or is not authorized by statute or Commission order on which the utility relies;

(3) The analysis, calculations, or data in the advice letter contain material errors or omissions;

(4) The relief requested in the advice letter is pending before the Commission in a formal proceeding; or

(5) The relief requested in the advice letter requires consideration in a formal hearing, or is otherwise inappropriate for the advice letter process; or

(6) The relief requested in the advice letter is unjust, unreasonable, or discriminatory (provided that such a protest may not be made where it would require relitigating a prior order of the Commission.)

A protest shall provide citations or proofs where available to allow staff to properly consider the protest.

A response or protest must be made in writing or by electronic mail and must be received by the Water Division within 20 days of the date this advice letter is filed. The address for mailing or delivering a protest is:

Tariff Unit, Water Division, 3rd floor
California Public Utilities Commission,
505 Van Ness Avenue, San Francisco, CA 94102
water_division@cpuc.ca.gov

On the same date the response or protest is submitted to the Water Division, the respondent or protestant shall send a copy by mail (or e-mail) to us, addressed to:

Recipients:

Jeffrey T Linam

.....

*Director – Rates &
Regulation*

E-Mail:

ca.rates@amwater.com

Mailing Address:

4701 Beloit Drive
Sacramento, CA 95838
Fax: (916) 568-4260

Sarah Leeper

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*Vice President – Legal,
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Kamilah Jones

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*Financial Analyst III – Rates
& Regulatory*

Kamilah.jones@amwater.com

4701 Beloit Drive
Sacramento, CA 95838
Fax: (916) 568-4260

Cities and counties that need Board of Supervisors or Board of Commissioners approval to protest should inform the Water Division, within the 20 day protest period, so that a late filed protest can be entertained. The informing document should include an estimate of the date the proposed protest might be voted on.

If you have not received a reply to your protest within 10 business days, contact this person at (916) 568-4232.

CALIFORNIA-AMERICAN WATER COMPANY

Jeffrey T. Linam
Director - Rates & Regulation

Cal P.U.C. Sheet No.	Title of Sheet	Cancelling Cal P.U.C. Sheet No.
282-S	Schedule SA Monterey Waste Water District Tariff Area ACTIVE SEWER SERVICE Sheet 1	266-S
283-S	Schedule SP Monterey Waste Water District Tariff Area PASSIVE SEWER SERVICE Sheet 1	268-S
284-S	Schedule WW-DU-1 Dunnigan District Sewer Tariff Area GENERAL FLAT RATE SERVICE Sheet 1	277-S
285-S	Schedule No. WW-LIRA (Continued) Monterey County Sewer Service LOW INCOME RATEPAYER ASSISTANCE PROGRAM Sheet 1	254-S
286-S	TABLE OF CONTENTS Sheet 1	281-S

Schedule SA
Monterey Waste Water District Tariff Area
ACTIVE SEWER SERVICE

Sheet 1

APPLICABILITY

Applicable to all Active Sewer Services.

TERRITORY

The systems at Las Palmas, Pasadera, Carmel Valley Ranch and Indian Springs

RATES

<u>Las Palmas:</u>	<u>Per Service Per Month</u>	
Service Charge Residential	\$125.67	(l)
Service Charge for School (5 EDUs)	\$628.38	
Service Charge for Small Office Park (6 EDUs)	\$754.08	
Service Charge for Golf Course (4 EDUs)	\$502.72	(l)
<u>Pasadera:</u>		
Service Charge Residential	\$125.67	(l)
<u>Carmel Valley Ranch:</u>		
Service Charge Residential	\$125.67	(l)
Service Charge for Hotel (144 EDUs)	\$18,097.83	(l)
<u>Indian Springs:</u>		
Service Charge for Residential and Small Commercial	\$125.67	(l)

SPECIAL CONDITIONS

FEES & SURCHARGES

1. All bills are subject to the Public Utilities Commission Reimbursement Fee set forth on Schedule No. UF.
2. Per Advice Letter 58-S, the under-collected balance in the Monterey Waste Water Consolidated Expense Balancing Account will be recovered through a monthly connection surcharge of \$0.54 over 12 months effective September 22, 2019.
3. Per Advice Letter 53-S, a surcharge of \$1.81 for the Low-Income Ratepayer Assistance Program ("LIRA") Balancing Account will be collected from all non-low income water and wastewater customers.

(Continued)

(TO BE INSERTED BY UTILITY)

ISSUED BY

(TO BE INSERTED BY C.P.U.C.)

Advice 65-S

J. T. LINAM

Date Filed 12/22/2020

Decision

DIRECTOR - Rates & Regulatory

Effective 01/01/2021

Resolution _____

Schedule SP
Monterey Waste Water District Tariff Area
PASSIVE SEWER SERVICE

Sheet 1

APPLICABILITY

Applicable to all Passive Sewer Services.

TERRITORY

The systems at White Oaks, Spreckels, Village Green and Oak Hills

RATES

<u>Oak Hills:</u>	<u>Per Service Per Month</u>	
Service Charge for Residential and Small Commercial	\$55.21	(l)
<u>Spreckels:</u>		
Service Charge for Residential and Small Commercial	\$55.21	(l)
Service Charge for School	\$82.79	
Service Charge for Large Commercial (4 or more Employees)	\$110.40	
Service Charge for Industrial	\$331.21	(l)
<u>White Oaks:</u>		
Service Charge for Residential	<u>Per Service Per Month</u> \$55.21	(l)
<u>Village Green:</u>		
Service Charge for Residential	\$55.21	(l)

SPECIAL CONDITIONS

FEES & SURCHARGES

1. All bills are subject to the Public Utilities Commission Reimbursement Fee set forth on Schedule No. UF.
2. Per Advice Letter 58-S, the under-collected balance in the Monterey Waste Water Consolidated Expense Balancing Account will be recovered through a monthly connection surcharge of \$0.54 over 12 months effective September 22, 2019.
3. Per Advice Letter 53-S, a surcharge of \$1.81 for the Low-Income Ratepayer Assistance Program ("LIRA") Balancing Account will be collected from all non-low income water and wastewater customers.

(Continued)

(TO BE INSERTED BY UTILITY)
Advice 65-S
Decision

ISSUED BY
J. T. LINAM
DIRECTOR - Rates & Regulatory

(TO BE INSERTED BY C.P.U.C.)
Date Filed 12/22/2020
Effective 01/01/2021
Resolution _____

Schedule WW-DU-1
Dunnigan District Sewer Tariff Area
GENERAL FLAT RATE SERVICE

Sheet 1

APPLICABILITY

Applicable to all sewer service.

TERRITORY

Dunnigan, along both sides of Interstate 5, between County Roads 2 on the north and County Road 9 on the south, Yolo County.

RATES

	Per Service Connection	
	<u>Per Month</u>	
For each Mobile Home residential unit	\$35.19	(I)
For each Recreational Vehicle unit	\$35.19	(I)
For Commercial Business Unit	\$35.19	(I)

SPECIAL CONDITIONS

General Items

1. All bills are subject to the reimbursement fee set forth in Schedule No. UF.
2. A late charge will be imposed per Schedule CA-FEES.
3. Bills will be rendered in advance of the period for which service will be provided.
4. In accordance with Section 2714 of the Public Utilities Code and Commission Resolution No. 3976, if a tenant in a rental unit leaves owing the utility, service to subsequent tenants in that unit will, at the Utility's option, be furnished on the account of the landlord or property owner.
5. Per D.18-12-021 a meter based bill credit for the Excess Unprotected Accumulated Deferred Income Tax a credit of \$.99 per month will be refunded to customers over the 24-month period beginning August 1, 2019.
6. Per Advice Letter 58-S, the under-collected balance in the Monterey Waste Water Consolidated Expense Balancing Account will be recovered through a monthly connection surcharge of \$1.70 over 36 months effective September 22, 2019.
7. Per D.18-12-021 a meter-based bill credit for the Excess Non-Plant Accumulated Deferred Income Tax a credit of \$0.22 per month will be refunded to customers over the 12-month period beginning January 21, 2020.
8. Per D.18-12-021 a meter-based bill credit for the 2019 Excess Plant Accumulated Deferred Income Tax a credit of \$0.10 per month will be refunded to customers over the 12-month period beginning November 1, 2020.

(P)

(Continued)

(TO BE INSERTED BY UTILITY)

Advice 65-S
Decision

ISSUED BY

J. T. LINAM
DIRECTOR - Rates & Regulatory

(TO BE INSERTED BY C.P.U.C.)

Date Filed 12/22/2020
Effective 01/01/2021
Resolution _____

Schedule No. WW-LIRA (Continued)
Monterey County Sewer Service
LOW INCOME RATEPAYER ASSISTANCE PROGRAM

Sheet 1

APPLICABILITY

Applicable to all Active and Passive Sewer Services.

TERRITORY

The systems of Las Palmas, Pasadera, Carmel Valley Ranch, Indian Springs, White Oaks, Spreckels, Village Greens, and Oak Hills.

RATES

Active Sewer Service

<u>Las Palmas:</u>	<u>Per Service Per Month</u>	
Service Charge Residential.....	\$100.54	(l)
<u>Pasadera:</u>		
Service Charge Residential.....	\$100.54	
<u>Carmel Valley Ranch:</u>		
Service Charge Residential.....	\$100.54	
<u>Indian Springs:</u>		
Service Charge for Residential	\$100.54	(l)

Passive Sewer Service

<u>Oak Hills:</u>	<u>Per Service Per Month</u>	
Service Charge for Residential.....	\$44.17	(l)
<u>Spreckels:</u>		
Service Charge for Residential	\$44.17	
<u>White Oaks:</u>		
Service Charge for Residential	\$44.17	
<u>Village Greens:</u>		
Service Charge for Residential.....	\$43.64	(l)

(Continued)

(TO BE INSERTED BY UTILITY) Advice 65-S Decision	ISSUED BY J. T. LINAM DIRECTOR - Rates & Regulatory	(TO BE INSERTED BY C.P.U.C.) Date Filed <u>12/22/2020</u> Effective <u>01/01/2021</u> Resolution
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Sheet 1

The following listed tariff sheets contain all effective rates and rules affecting the charges and service of the utility, together with other pertinent information:

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<u>Rate Schedules:</u>		
Schedule No. SA – Active Sewer Service	282-S, 260-S, 278-S, 273-S, 279-S	(C)
Schedule No. SP – Passive Sewer Service	283-S, 269-W, 280-S	(C)
Schedule No. UF	212-S	
Schedule No. WW-LIRA	285-S, 210-S, 171-S, 172-S, 199-S	(C)
Schedule No. WW-DU	284-S	(C)
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No. 2 Customer's Deposit Receipt	50-S	
No. 3 Bill for Service	51-S, 52-S	
No. 4 Main Extension Contract	53-S, 54-S	
No. 5 Main Extension Contract (Individuals)	55-S	

(Continued)

(TO BE INSERTED BY UTILITY)

ISSUED BY

(TO BE INSERTED BY C.P.U.C.)

Advice 65-S

J. T. LINAM

Date Filed 12/22/2020

Decision

DIRECTOR - Rates & Regulatory

Effective January 1, 2021

Resolution _____

MONTEREY WASTEWATER DISTRICT SERVICE LIST
CALIFORNIA-AMERICAN WATER COMPANY
ADVICE LETTER 65-S

BY MAIL:

Joe Lucido 25417 Boots Road Monterey, CA 93940	Los Angeles Docket Office California Public Utilities Commission 320 West 4th Street, Suite 500 Los Angeles, CA 90013	
Alco Water Service 249 Williams Road Salinas, CA 93901	Monterey Regional Water Pollution Control Agency (MRWPCA) 5 Harris Court Road. Bldg D. Monterey, CA 93940	Monterey Peninsula Water Mgmt Dist. Chief Financial Officer P.O. Box 85 Monterey, CA 93942
Yazdan Emrani, P.E. Deputy Pub Works Director – Operations Monterey County DPW 168 W. Alisal Street, 2nd floor Salinas, CA 93901-2680	City of Pacific Grove c/o Community Development Department Attention: Sarah Hardgrave 300 Forest Ave., 2nd floor Pacific Grove, CA 93950	City of Pacific Grove City Attorney/City Hall 300 Forest Ave 2nd floor Pacific Grove, CA 93950
Ann Camel City Clerk City of Salinas 200 Lincoln Avenue Salinas, CA 93901	City of Sand City City Hall California & Sylvan Avenues Sand City, CA 93955 Attn: City Clerk	Deborah Mall, City Attorney City of Monterey 512 Pierce Street Monterey, CA 93940
Karen Crouch City Clerk, Carmel-By-The-Sea PO Box CC Carmel-by-the-Sea, CA 93921	Darryl D. Kenyon Monterey Commercial Property Owners Association P.O. Box 1953 Monterey, CA 93942	Irvin L. Grant Deputy County Counsel County of Monterey 168 W. Alisal Street, 3rd floor Salinas, CA 93901-2680
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MONTEREY WASTEWATER DISTRICT SERVICE LIST
CALIFORNIA-AMERICAN WATER COMPANY
ADVICE LETTER 65-S

By E-MAIL:

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